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PTO/SB/01 (12-97)

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

<b>Attorney Docket Number</b>		<b>2107-29</b>
<b>First Named Inventor</b>		Chun He
<b>COMPLETE IF KNOWN</b>		
<b>Application Number</b>		/
<b>Filing Date</b>		Herewith
<b>Group Art Unit</b>		
<b>Examiner Name</b>		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Tunable Optical Add/Drop Device**

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY) [ ]

as United States Application Number or PCT International

Application Number [ ]

and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto;

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

[Page 1 of 2]

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PTO/SB/01 (12-07)

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
10/144,596	5/10/2002	

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Customer Number	26797	<input type="checkbox"/> Place Customer Number Bar Code Label here.
OR		
<input type="checkbox"/> Registered practitioner(s) name/registration number listed below		

Name	Registration Number	Name	Registration Number

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number or Bar Code Label 26797 OR  Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	(408)777-8873	Fax (408)873-9249

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle if any)		Family Name or Surname					
Chun		He					
Inventor's Signature						Date	12/19/03
Residence: City	Fremont	State	CA	Country	USA	Citizenship	USA
Post Office Address							
Post Office Address							
City	Fremont	State	CA	ZIP	94539	Country	USA

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

PTO/SB/02A (3-97)

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**DECLARATION****ADDITIONAL INVENTOR(S)****Supplemental Sheet**Page 1 of 1**Name of Additional Joint Inventor, if any:** A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Frank

Wu

Inventor's Signature

Date

12/8/02

Residence: City

Fremont

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Country

USA

Citizenship

USA

Post Office Address

42601 Isle Royal Street

Post Office Address

**Name of Additional Joint Inventor, if any:** A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Changhong

Luo

Inventor's Signature

Date

Residence: City

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State

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Citizenship

USA

Post Office Address

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Post Office Address

Sunnyvale

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94087

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USA

**Name of Additional Joint Inventor, if any:** A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Yao

Li

Inventor's Signature

Date

12-9-02

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Fremont

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Citizenship

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State

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ZIP

94539

Country

USA

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>		
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])				Family Name or Surname		
Frank				Wu		
Inventor's Signature					Date	
Residence: City	Fremont	State	CA	Country	USA	Citizenship
Post Office Address	42601 Isle Royal Street					
Post Office Address						
City	Fremont	State	CA	ZIP	94538	Country
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname		
Christine Luochanghong				Lee		
Inventor's Signature					Date	12/12/03
Residence: City	Sunnyvale	State	CA	Country	USA	Citizenship
Post Office Address	1718 Chitamook Court					
Post Office Address						
City	Sunnyvale	State	CA	ZIP	94087	Country
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname		
Yao				Li		
Inventor's Signature					Date	
Residence: City	Fremont	State	CA	Country	USA	Citizenship
Post Office Address	43400 Adelina Common					
Post Office Address						
City	Fremont	State	CA	ZIP	94539	Country

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PTO/SB/06A (0-07)

**ADDITIONAL INVENTOR(S)**  
Supplemental Sheet  
Page 1 of 1

**DECLARATION**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed to this unsigned inventor		
Given Name (first and middle [if any])		Family Name	Surname	
Wel-Shin		Terry		
Investor's Signature				Date
Saratoga	CA	Country	USA	Citizenship
Post Office Address	21195 Chiquita Way			
Post Office Address				
City	CA	ZIP	95070	Country
Name of Additional Joint Inventor, if any:	<input type="checkbox"/> A petition has been filed to this unsigned inventor			
Given Name (first and middle [if any])	Family Name	Surname		
Investor's Signature				Date
Residence City	State	Country		
Post Office Address				
Post Office Address	State	ZIP		
City			Country	
Name of Additional Joint Inventor, if any:	<input type="checkbox"/> A petition has been filed to this unsigned inventor			
Given Name (first and middle [if any])	Family Name	Surname		
Investor's Signature				Date
Residence City	State	Country		
Post Office Address				
Post Office Address	State	ZIP		
City			Country	

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